

A STELLAR MODEL-FITTING PIPELINE FOR ASTEROSEISMIC DATA FROM THE *KEPLER* MISSION

T. S. METCALFE¹, O. L. CREEVEY^{2,3}, AND J. CHRISTENSEN-DALSGAARD^{4,5}

¹ High Altitude Observatory and Scientific Computing Division, NCAR, P.O. Box 3000, Boulder, CO 80307, USA; travis@ucar.edu

² Instituto de Astrofísica de Canarias, 38205, La Laguna, Tenerife, Spain; orlagh@iac.es

³ Newkirk Graduate Fellow, High Altitude Observatory, NCAR, P.O. Box 3000, Boulder, CO 80307, USA

⁴ Department of Physics and Astronomy, Aarhus University, Aarhus, Denmark; jcd@phys.au.dk

⁵ Affiliate Scientist, High Altitude Observatory, NCAR, P.O. Box 3000, Boulder, CO 80307, USA

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ABSTRACT

Over the past two decades, helioseismology has revolutionized our understanding of the interior structure and dynamics of the Sun. Asteroseismology will soon place this knowledge into a broader context by providing structural data for hundreds of Sun-like stars. Solar-like oscillations have already been detected from the ground in several stars, and NASA's *Kepler* mission is poised to unleash a flood of stellar pulsation data. Deriving reliable asteroseismic information from these observations demands a significant improvement in our analysis methods. In this paper, we report the initial results of our efforts to develop an objective stellar model-fitting pipeline for asteroseismic data. The cornerstone of our automated approach is an optimization method using a parallel genetic algorithm. We describe the details of the pipeline and we present the initial application to Sun-as-a-star data, yielding an optimal model that accurately reproduces the known solar properties.

Key words: methods: numerical – stars: interiors – stars: oscillations

1. MOTIVATION

Most of what we can learn about stars comes from observations of their outermost surface layers. We are left to infer the properties of the interior based on our best current understanding of the constitutive physics. The exception to this general rule arises from observations of pulsating stars, where seismic waves probe deep through the interior and bring information to the surface in the form of light and radial velocity variations. The most dramatic example is the Sun, where such observations have led to the identification of millions of unique pulsation modes, each sampling the solar interior in a slightly different and complementary way. The radial profile of the sound speed inferred from inversion of these data led to such precise constraints on the standard solar model that, before the recent controversy over heavy element abundances (Asplund et al. 2004; Caffau et al. 2008), the observations and theory agreed to better than a few parts per thousand over 90% of the solar radius (Christensen-Dalsgaard 2002). Although such detailed inversions are not currently possible for other stars, pulsation data do allow us to determine the global properties and to probe the gross internal composition and structure, providing valuable independent tests of stellar evolution theory. The reason for this qualitative difference is simple: if we could move the Sun to the distance of the nearest star, many of the pulsation modes would no longer be detectable. Without spatial resolution, only those modes with the lowest spherical degree ($l \lesssim 3$) would produce significant variations in the total integrated light or the spectral line profiles.⁶ These are also the modes that probe deepest into the stellar interior, collectively sampling the physical conditions from the core to the photosphere.

The solar oscillations are excited by the acoustic noise generated by the near-surface convection, with nearly sonic speed. Thus, we expect to find similar oscillations in all stars with vigorous outer convection zones. A first unambiguous detection

of solar-like oscillations in another star was in fact made by Kjeldsen et al. (1995). Recent improvements in our ability to make high-precision radial velocity measurements from the ground have been driven largely by efforts to detect extrasolar planets. This has led to the detection of solar-like oscillations in more than a dozen main-sequence and subgiant stars; in addition, large-scale ground-based photometric campaigns have shown evidence for solar-like oscillations in numerous giants (for a recent review see Bedding & Kjeldsen 2007). The oscillation amplitudes and the frequency of maximum power in these stars agree reasonably well with our theoretical expectations—but in some cases the mode lifetimes appear to be significantly shorter than expected (Stello et al. 2004), suggesting that our knowledge of the convective driving and damping physics is incomplete. Scintillation in the Earth's atmosphere severely limits our ability to detect the parts-per-million light variations due to these pulsations in main-sequence stars. However, space-based photometric programs designed to detect extrasolar planet transits also have the sensitivity to document the stellar pulsation signals. Thus, the French-led *CoRoT* mission (Baglin et al. 2006) is providing extensive data of very high quality on oscillations in a broad range of stars. The field is progressing very rapidly and many new observations will become available in the next few years, particularly after the launch of NASA's *Kepler* mission in 2009. With this in mind, it will be a distinct advantage to have in place the computational methods that will allow us to maximize the science return of these data. This will lead us quickly to a deeper understanding of the solar oscillations in the context of similar pulsations in other stars, and will yield unprecedented constraints on the formation and evolution of stellar and planetary systems.

The likely excitation mechanism by turbulent convection near the surface creates a broad envelope of power with a peak that scales with the acoustic cutoff frequency (see Brown & Gilliland 1994). Within this envelope a large fraction of the predicted low-degree pulsation modes are excited to detectable amplitudes, leading to readily identifiable patterns of peaks in the power spectrum characterized by the *large separation* ($\Delta\nu_l \equiv$

⁶ Note that pulsation modes of higher spherical degree can sometimes be detected in other types of variable stars (e.g., Kennelly et al. 1998).

$\nu_{n,l} - \nu_{n-1,l}$), and the *small separation* ($\delta\nu_{l,l+2} \equiv \nu_{n,l} - \nu_{n-1,l+2}$), where n is the radial order and l is the spherical degree of the modes with oscillation frequency $\nu_{n,l}$. Without any detailed modeling, these overall patterns immediately lead to an estimate of the mean density of the star and can indicate the presence of interior chemical gradients that are a good proxy for the stellar age. But a full analysis must include a detailed comparison of the individual frequencies with theoretical models. One complication with such a comparison is the existence of the so-called *surface effects*, which appear as systematic differences between the observed and calculated oscillation frequencies that grow larger toward the acoustic cutoff frequency. Surface effects arise primarily due to incomplete modeling of the near-surface layers of the star where convection plays a major role (Christensen-Dalsgaard & Thompson 1997). Addressing this inherent deficiency in our one-dimensional models would require (among other things) that we substitute the results of extensive three-dimensional calculations for the parameterized mixing-length treatment of convection that is currently used in nearly all stellar evolution codes. Alternately, we can make an empirical correction to the calculated frequencies following Kjeldsen et al. (2008), who recently devised a method for calibrating the functional form of surface effects using solar data, and then obtaining corrections for other stars based on the frequencies of a reference model, scaled to correct for the different mean density (see Appendix A).

We have developed an objective and automated method of fitting stellar models to the asteroseismic data soon expected to emerge from NASA's *Kepler* mission. This will lead to reliable determinations of stellar radii to help characterize the extrasolar planetary systems discovered by the mission, and stellar ages to reveal how such systems evolve over time. For the asteroseismic targets that do not contain planetary companions it will allow a uniform determination of fundamental physical properties for hundreds of solar-type stars, providing a new window on stellar structure and evolution. In Section 2, we provide an overview of the stellar models as well as the optimization and analysis techniques that establish the foundation of our fitting method. We describe our initial numerical experiments to calibrate the method with Sun-as-a-star data in Section 3, and we outline our plans for further validation in Section 4.

2. COMPUTATIONAL METHOD

The *Kepler* mission will soon yield precise high-cadence time-series photometry of hundreds of pulsating stars every few months for at least 3.5 years (Christensen-Dalsgaard et al. 2007). We will then face the challenge of determining the fundamental properties of these stars from the data, by attempting to match them with the output of computer models. The traditional approach to this task is to make informed guesses for each of the model parameters, and then to adjust them iteratively until an adequate match is found. The volume of asteroseismic data that will emerge from the *Kepler* mission calls for a more automated approach to modeling that initially explores a broad range of model parameters in an objective manner. The cornerstone of our model-fitting approach is a global optimization method using a parallel genetic algorithm. The result of the global search provides the starting point for a local analysis using a Levenberg–Marquardt (LM) algorithm with Singular Value Decomposition (SVD), which also allows us to explore the information content of the observables and the impact of including additional observational constraints (Brown et al. 1994; Creevey et al. 2007).

2.1. Stellar Models

We have recently adapted the Aarhus stellar evolution code (ASTEC; Christensen-Dalsgaard 2008a) and adiabatic pulsation code (ADIPLS; Christensen-Dalsgaard 2008b) to interface with the parallel genetic algorithm. These are essentially the same models that were developed for the analysis of helioseismic data, and are the source of Model S of Christensen-Dalsgaard et al. (1996), which has been used extensively as a reference model for solar inversions. Using these models for the analysis of pulsations in solar-type stars will provide some internal consistency in our understanding of solar-like oscillations. Briefly, these stellar models use the OPAL 2005 equation of state (see Rogers et al. 1996) and the most recent OPAL opacities (see Iglesias & Rogers 1996), supplemented by Kurucz opacities at low temperatures. The nuclear reaction rates come from Bahcall & Pinsonneault (1995), convection is described by the mixing-length theory of Böhm-Vitense (1958), and we included the effects of helium settling as described by Michaud & Proffitt (1993).

Each model evaluation involves the computation of a stellar evolution track from the zero-age main sequence through a mass-dependent number of internal time steps, terminating prior to the beginning of the red-giant stage. Rather than calculate the pulsation frequencies for each of the 200–300 models along the track, we exploit the fact that the average frequency spacing of consecutive radial overtones ($\langle\Delta\nu_0\rangle$) in most cases is a monotonically decreasing function of age (Christensen-Dalsgaard 1993). Once the evolution track is complete, we start with a pulsation analysis of the model at the middle time step and then use a binary decision tree—comparing the observed and calculated values of $\langle\Delta\nu_0\rangle$ —to select older or younger models along the track. In practice, this recipe allows us to interpolate the age between the two nearest time steps by running the pulsation code on just eight models from each stellar evolution track.

2.2. Global Search

Since we are interested in developing a general-purpose modeling tool for asteroseismic data from the *Kepler* mission, we need to select a global method for optimizing the match between our model output and the available observations of any given star. Using only observations and the constitutive physics of the model to restrict the range of possible values for each parameter, a genetic algorithm (GA; Charbonneau 1995; Metcalfe & Charbonneau 2003) can provide a relatively efficient means of searching globally for the optimal model. Although it is more difficult for a GA to find *precise* values for the optimal set of parameters efficiently, it is well suited to search for the *region* of parameter space that contains the global minimum. In this sense, the GA is an objective means of obtaining a good first guess for a more traditional local analysis method, which can narrow in on the precise values and uncertainties of the optimal model parameters.

Metcalfe & Charbonneau (2003) developed a fully parallel and distributed implementation of the PIKAIA genetic algorithm⁷ that was originally written by Charbonneau (1995). Metcalfe et al. (2000) used this modeling tool in the context of white dwarf asteroseismology, which ultimately led to a number of interesting physical results, including: (1) a precise estimate of the astrophysically important ($^{12}\text{C} + ^4\text{He} \rightarrow ^{16}\text{O}$) nuclear

⁷ The parallel version of PIKAIA is available at <http://www.cisl.ucar.edu/css/staff/travis/mpikaia/>.

reaction rate (Metcalf 2003), (2) the first unambiguous detection of a crystallized core in a massive pulsating white dwarf (Metcalf et al. 2004), and (3) asteroseismic confirmation of a key prediction of diffusion theory in white dwarf envelopes (Metcalf 2005). The impact of this method on the analysis of pulsating white dwarfs suggests that seismological modeling of other types of stars could also benefit from this approach.

Our implementation of the GA optimizes four adjustable model parameters; these are the stellar mass (M_*) from 0.75 to 1.75 M_\odot , the metallicity (Z) from 0.002 to 0.05 (equally spaced in $\log Z$), the initial helium mass fraction (Y_0) from 0.22 to 0.32, and the mixing-length parameter (α) from 1.0 to 3.0. The stellar age (τ) is optimized internally during each model evaluation by matching the observed value of $\langle \Delta\nu_0 \rangle$ (see Section 2.1). The GA uses two-digit decimal encoding, so there are 100 possible values for each parameter within the ranges specified above. Each run of the GA evolves a population of 128 models through 200 generations to find the optimal set of parameters, and we execute four independent runs with different random initialization to ensure that the best model identified is truly the global solution. This method requires about 10^5 model evaluations, compared to 10^8 models for a complete grid at the same sampling density, making the GA nearly 1000 times more efficient than a complete grid (currently 1 week of computing time, compared to many years for a grid). Of course, a grid could in principle be applied to hundreds of observational data sets without calculating additional models—but the GA approach also gives us the flexibility to improve the physical ingredients in the future, while the physics of a grid would be fixed.

2.3. Local Analysis

Once the GA brings us close enough to the global solution, we can switch to a local optimization method. We implement a modified LM algorithm that uses SVD on the calculated design matrices to filter the least important information from the observables (some of which may be dominated by noise). This then provides an effective local inversion technique. LM is relatively fast and stable, and convergence typically occurs within 3–4 iterations.

We treat the local analysis as a χ^2 -minimization problem, where one seeks to find the set of parameters \mathbf{P} which minimizes

$$\chi^2 = \sum_{i=1}^M \left(\frac{O_i - C_i}{\sigma_i} \right)^2. \quad (1)$$

Here O_i and σ_i are the $i = 1, 2, \dots, M$ measurements and errors, while the C_i are the calculated model observables resulting from \mathbf{P} . The LM+SVD method requires an initial guess of \mathbf{P} , and these are taken to be the results from the global search by the GA. The LM+SVD analysis subsequently uses derivative information from the model at the current parameter values to calculate suggested parameter changes $\delta\mathbf{P}$ that will bring the model observables closer to the observations.

We have three main motivations for implementing a local optimization method at the end of the global search. First, the GA has a limited resolution for each parameter, and the values that match the observations best are most likely between the fixed sample points. For example, the parameter Z near solar values is tuned by the GA along sample points spaced 0.0006 apart, corresponding to a precision of roughly 3%. Considering that the search resolution is limited for *all* of the model parameters, this significantly limits the precision of

the global optimization. The resolution of the local analysis is limited only by the precision of the stellar evolution and pulsation codes, so we use it to adjust the models below the resolution of the GA search.

Our second motivation for the local analysis is to quantify the final parameter uncertainties and correlations, and to probe the information content of the observables. We do this by calculating the derivatives of the model observables with respect to each of the fitted parameters, and then dividing each vector by the corresponding measurement error. This matrix can be referred to simply as the design matrix \mathbf{D} . We subsequently calculate the singular value decomposition of \mathbf{D} for some of its very useful properties (see Appendix B).

The final motivation for implementing a local analysis is to explore the effects of using different physical descriptions of the stellar interior (Creevey 2008, 2009). When the changes to the underlying physics are relatively subtle, we can assume that the global search by the GA using one set of assumptions will also provide a good starting point for a local analysis under slightly perturbed conditions. By applying the techniques explained in Creevey (2009), such an analysis could demonstrate that the observational data contain enough information to distinguish clearly between different choices for the equation of state, for example. Ultimately, this technique could reveal discrepancies in the observables that indicate which physical description is most suitable for the star under investigation.

We apply the local analysis to each of the sets of optimal parameters identified by the four independent runs of the GA. The local analysis involves the gradual refinement of the optimal parameters through the iterative application of several steps.

1. Scan the Z parameter within ± 0.002 of its original value, and perform a new minimization for each starting value of Z . We retain the set of parameters \mathbf{P}_{new} that result in the lowest value of the reduced χ^2 .
2. Starting with \mathbf{P}_{new} , scan the Y_0 parameter within ± 0.01 of its initial value, and perform a new minimization for each starting value of Y_0 . Again we retain the \mathbf{P}_{new} that yields the lowest reduced χ^2 .
3. Rescan the Z parameter beginning with \mathbf{P}_{new} . We adopt as the optimal model the set of parameters that yield the lowest reduced χ^2 from this final iteration.

The reason for scanning Y_0 and Z becomes clear when we begin to understand the intrinsic parameter correlations, which are enhanced by the limited set of observations (see Section 3.2).

In most cases the best model found by the global search leads to the final best model after the local optimization. However, sometimes a model that appears to be marginally worse at the end of the global search is improved more substantially during the local analysis. We take our final solution to be the best match from the four independent analyses, and for clarity we report the results of the corresponding global search even when it is not the best of the four models identified by the GA (see Table 1). The final uncertainties in the parameter values (M_* , Z , Y_0 , α , τ) and in the model observables (T_{eff} , L_* , R_*) are calculated using SVD (see Appendix B).

3. MODEL-FITTING EXPERIMENTS

The overall goal of our model-fitting pipeline is to take a range of oscillation frequencies and other constraints as input, to identify and refine the model that best matches these observations, and to produce the optimal values of several parameters and other characteristics of the model as output. To

Table 1
Results of Model-fitting Experiments

Run	$M_*(M_\odot)$	Z	Y_0	α	$\tau(\text{Gyr})$	$T_{\text{eff}}(\text{K})$	$L_*(L_\odot)$	$R_*(R_\odot)$	χ^2_{R}
H&H1	1.000	0.0191	0.271	2.06	4.54	5780	1.001	1.000	...
global:	1.000	0.0197	0.273	2.04	4.51	5763	0.990	1.000	0.052
local:	1.001	0.0193	0.269	2.03	4.58	5755	0.986	1.000	0.004
error:	0.009	0.0014	0.012	0.07	0.16	61	0.040	0.004	...
Model S_*
global:	0.990	0.0191	0.277	2.04	4.56	5778	0.993	0.996	0.062
local:	0.993	0.0188	0.275	2.05	4.52	5787	1.001	0.997	0.039
error:	0.009	0.0013	0.010	0.07	0.16	60	0.042	0.003	...
H&H2	1.000	0.0191	0.271	2.06	4.54	5780	1.001	1.000	...
global:	1.010	0.0210	0.272	2.12	4.67	5773	1.005	1.004	0.042
local:	1.010	0.0197	0.268	2.09	4.55	5776	1.006	1.003	0.007
error:	0.010	0.0016	0.012	0.07	0.16	61	0.041	0.004	...
BiSON
global:	1.010	0.0217	0.278	2.14	4.52	5793	1.018	1.004	0.197
local:	1.012	0.0200	0.267	2.12	4.65	5779	1.010	1.004	0.146
error:	0.008	0.0014	0.010	0.06	0.17	55	0.039	0.003	...
GOLF
global:	1.010	0.0217	0.273	2.10	4.71	5752	0.990	1.004	0.183
local:	1.011	0.0199	0.267	2.11	4.66	5779	1.008	1.004	0.124
error:	0.014	0.0017	0.017	0.07	0.21	67	0.043	0.005	...

ensure that our pipeline yields reliable results, we must begin by applying it to data where the basic stellar properties are already known. The most obvious choice is the Sun, where high-quality Sun-as-a-star observations are available from multiple experiments. If we feed the pipeline a stellar-like set of solar data, we can judge the experiment a success if the pipeline returns the known solar properties within acceptable tolerances. To reach this goal, we must first optimize the efficiency of the search method by passing synthetic data through the model-fitting procedure (Section 3.1), calibrate the differences between our models and real observations due to near-surface effects, and ensure that the resulting empirical correction does not introduce any large systematic errors in the final model parameters (Section 3.2), and finally quantify any differences in the derived model parameters due to the source and error properties of the solar data (Section 3.3). The results of these experiments are listed in Table 1, and described in the following subsections. We will eventually want to validate this model-fitting pipeline using other stars that differ from the Sun, where the physical properties are known with lower precision. However, because of the computation-intensive nature of our method (which currently requires about 1 week on 512 processors), we will consider here only the initial validation using solar data.

3.1. Efficiency of the Search

Although genetic algorithms are often more efficient than other comparably global optimization methods, they are still quite demanding computationally. Fortunately, the procedure is inherently parallelizable; we need to calculate many models, and each one of them is independent of the others. So the number of available processors determines the number of models that can be calculated in parallel. Also, there is very little communication overhead; parameter values are sent to each processor, and they return either a list of observables or just a goodness-of-fit measure if the predictions have already been compared to the observations. The parallel version of the PIKAIA genetic algorithm is perfectly general, and did not require any structural modifications to interface with our stellar evolution and pulsation codes.

The efficiency of genetic-algorithm-based optimization can be defined as the number of model evaluations required to yield the global solution, relative to the number of models that would be required for a complete grid at the same sampling density. In practice, a GA is usually hundreds or even thousands of times more efficient than a complete grid, and its performance is fairly insensitive to the few internal parameters that control its operation. We initially set these internal parameters (e.g., population size, run length, crossover and mutation rates) based on our experience with white dwarf models, but we also ran synthetic data through the optimization procedure in a series of ‘‘Hare & Hound’’ (H&H) exercises to ensure that the input parameters were recovered faithfully.

The basic procedure for an H&H exercise is for one team member to calculate the theoretical oscillation frequencies and other observables for a specific set of model parameters (M_* , Z , Y_0 , α , τ). A subset of the predictions, typical of whatever is available from actual observations, is then given to another team member who does not know the source parameters. The data are passed through the complete optimization method in an attempt to recover the source parameters without any additional information. The results of such exercises are used to quantify the success rate of the optimization method (the fraction of independent runs that lead to the known source parameters), and to improve its efficiency (minimize the number of model evaluations) if possible.

For our first H&H exercise (with source parameters listed in the row labeled ‘‘H&H1’’ in Table 1), we assume that typical asteroseismic data from the *Kepler* mission will include 12 frequencies for each of the radial ($l = 0$), dipole ($l = 1$), and quadrupole ($l = 2$) modes, with consecutive radial orders in the range $n = 14$ –25.⁸ Thus, we allowed the GA to fit a total of 36 oscillation frequencies. We assigned statistical uncertainties to each frequency by scaling up the errors on the corresponding modes in BiSON data (Chaplin et al. 1999) by a factor of 10, which is roughly what we expect from

⁸ Note that only the identification of l is necessary for the operation of our pipeline. Information about the value of n is provided only for completeness.

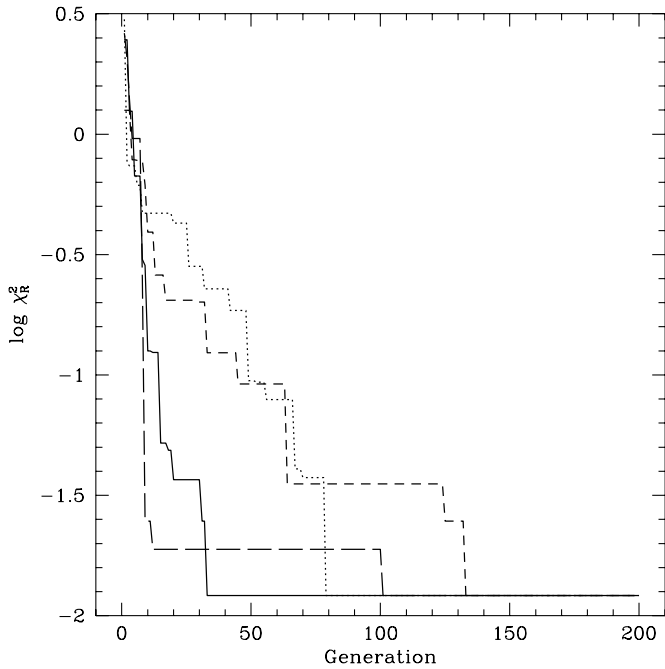


Figure 1. Reduced χ^2 of the best solution in the population as a function of generation number for four independent runs of the genetic algorithm on the H&H1 data set. All four runs converge to the global solution within 150 generations.

Kepler data ($\sigma_v \sim 0.1 \mu\text{Hz}$). We complemented this synthetic asteroseismic information with artificial data on the effective temperature and luminosity, with errors comparable to what is expected for stars in the *Kepler* Input Catalog (Latham et al. 2005, $T_{\text{eff}} = 5777 \pm 100 \text{ K}$, $L_*/L_\odot = 1.00 \pm 0.1$).⁹ This is the simplest conceivable test of the pipeline—if we generate a set of model data and then use the optimization method to match those data using exactly the same models, how quickly will the GA find the true values of the model parameters? The results of such a test verify the basic functionality of the algorithm, and tell us the approximate number of iterations (or “generations” of the GA) that we need to execute before stopping the search.

The results of H&H1 for four independent runs of the GA are illustrated in Figure 1. Each convergence curve shows the reduced χ^2 (hereafter $\chi_R^2 \equiv \chi^2/(M - N - 1)$ where M is the number of constraints and N is the number of parameters) for the best model in the GA population as a function of generation number. All of the runs converge in less than ~ 150 generations, in each case leading to the same mass as the source model and values for the other parameters offset by less than $\sim 1\%$ from the source values (the best model from the GA is listed in the row labeled “global” under H&H1 in Table 1). Since we expect the algorithm to converge more quickly in this highly idealized case, we decided to continue running the GA for 200 generations for the more difficult tests that follow. The local analysis using LM+SVD brings the final value of the metallicity (Z) closer to the source model, while retaining comparable accuracy for the other parameters (see the row labeled “local” under H&H1 in Table 1). Note that the unusually small values of χ_R^2 in Table 1 are a consequence of adopting scaled-up BiSON errors for the model frequencies, which vastly overestimate the true theoretical uncertainties in this case.

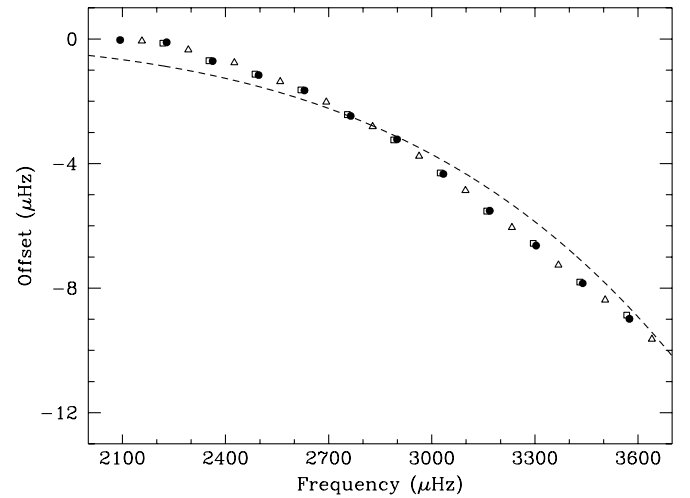


Figure 2. Offset due to surface effects between the Model S_* frequencies and the corresponding radial modes (circles) from the BiSON data, along with the power-law fit to these modes (dashed line). The resulting empirical correction is also applied to the dipole (triangles) and quadrupole modes (squares), since the offset is not a strong function of spherical degree.

3.2. Calibrating Surface Effects

The biggest challenge to comparing the oscillation frequencies from theoretical models with those actually observed in solar-type stars are the systematic errors due to *surface effects*. The mixing-length parameterization of convection that is used in most stellar models is insufficient to describe the near-surface layers, and this leads to a systematic difference of several μHz (up to about 0.3% for a solar model) between the observed and calculated frequencies (see Figure 2). The offset is nearly independent of the spherical degree (l) of the mode and grows larger toward the acoustic cutoff frequency. The three-dimensional simulations of convection that might in principle reduce this discrepancy for individual stars are far too computationally expensive for the model-fitting approach that we are developing. Instead, we adopt the method for empirical correction of surface effects described by Kjeldsen et al. (2008), which uses the discrepancies between Model S and GOLF data for the Sun (Lazrek et al. 1997) to calibrate the empirical surface correction.

The models that we have adopted for the pipeline include a slightly different set of physical ingredients than what was used to produce Model S from Christensen-Dalsgaard et al. (1996).¹⁰ To characterize the surface effects, we need a model that uses the same physics as the other models in the present investigation, while matching the Model S frequencies as closely as possible. To find such a model (hereafter Model S_*), we used our pipeline to fit the computed frequencies of Model S. Since this involves the comparison of two sets of model frequencies—both of which include a mixing-length parameterization of convection—there are no surface effects to consider. Again we allowed the GA to fit 36 frequencies for modes with $n = 14\text{--}25$ and $l = 0\text{--}2$ and we used the same scaled BiSON errors and the same constraints on the effective temperature and luminosity (see Section 3.1). The resulting optimal models from the global search and the local analysis had the parameter values listed in the rows below “Model S_* ” in Table 1. Following Kjeldsen et al. (2008), we fit a power law to the differences between the frequencies of the radial modes of this model and the corresponding frequencies

⁹ Note that the stellar luminosity is a derived quantity based on the observed parallax and apparent magnitude.

¹⁰ Model S also included diffusion and settling of heavy elements, and was based on an earlier version of the OPAL opacities.

from BiSON data to characterize the surface effects (see Figure 2 and Appendix A). We found a power law exponent $b = 4.82$, slightly lower than the value ($b = 4.90$) derived by Kjeldsen et al. using data from the GOLF experiment. With this exponent fixed, the recipe of Kjeldsen et al. describes how to predict the surface effect for any other set of calculated oscillation data, allowing us to apply this empirical correction to each of our models before comparing them to observations.

If our strategy of making this empirical correction to each of our models is to succeed, it must not only work *well* for models in a certain region of the search space—it must work *best* for the model that simultaneously matches all of the independent observational constraints within their uncertainties. Our second H&H exercise (with parameters listed in the row labeled “H&H2” in Table 1) was designed to test the behavior of the pipeline with the surface correction included. The source model and other constraints were identical to those used for H&H1, but the surface effect was first calculated from Equation (A1) and then applied to each model frequency prior to fitting so that the H&H2 data would mimic real observations. We fixed the value of b to 4.82 (as determined above) and we calculated the value of a using Equation (A1) with the scaling factor r determined using BiSON data as the reference set of observations. The fitting procedure then included the empirical surface correction—attempting to remove the systematic frequency errors that we artificially introduced—as detailed in Appendix A. Again this test reveals how long we must run the GA for it to converge to the global solution, but it also quantifies any systematic errors on the derived parameter values that arise from our implementation of the empirical surface correction.

As expected, the GA converges to the global solution more slowly with the inclusion of the surface correction. Within 150–200 generations, two of the four runs converged to the parameters listed in the row labeled “global” under H&H2 in Table 1, while the other two remained in a nearby local minimum. If we had continued the search, these two runs would eventually also have found the global solution. The largest systematic error appears in the value of Z , which is about 6% (two sample points) higher in the global solution than in the source model. There are also smaller errors ($\sim 1\%$) on the derived values of Y_0 and τ . The results of the local analysis (listed in the row labeled “local” under H&H2 in Table 1) bring both Z and τ closer to the source values while preserving the accuracy of the other parameters. The remaining errors are not a deficiency in the ability of the method to find the true solution—the identified model actually results in a lower χ_R^2 than the source parameters.

The reason for this counterintuitive result is that we can only generate a surface correction when there is a reference set of observed oscillation frequencies (see Appendix A). Our source model used the BiSON data for reference, and these data have a slightly different value of the large frequency separation ($\langle \Delta\nu_0 \rangle = 134.673 \mu\text{Hz}$) than the resulting source model ($\langle \Delta\nu_0 \rangle = 134.759 \mu\text{Hz}$). Since this quantity is used by the binary decision tree to fit the age of the model, the small difference leads to a slightly offset derived age—which subsequently modifies the optimal composition through intrinsic parameter correlations. In other words, when generating a model with the surface correction included from a given set of parameters, those same parameters will generally not provide the best fit to the resulting observables. This actually highlights our inability to generate realistic data with surface effects, rather than an inherent limitation in our fitting method. In any case,

this exercise demonstrates that the systematic errors resulting from our surface correction are small, and that running the GA for 200 generations should be sufficient for real observations.

3.3. Validation with Solar Data

Ultimately, our model-fitting pipeline can only be judged a success if it leads to accurate estimates of the stellar properties for the star that we know best: the Sun. Up to this point, we have essentially been fitting models to synthetic data—using the solar data from BiSON only to calibrate the empirical surface correction and to provide realistic errors. There are many other ingredients in our models that could in principle be insufficient descriptions of the actual conditions inside of real stars—deficiencies that could easily lead to systematic errors in our determinations of the optimal model parameters for a given set of oscillation data. For example, we initially tried to use models that employed the simpler EFF equation of state (Eggleton et al. 1973) for computational expediency, but this led to estimates of the stellar mass about 10% too high for the Sun, and unacceptably large systematic errors on many of the other stellar properties. Even attempting to ignore the effects of helium settling proved to be too coarse an approximation, leading to 5% errors on the mass. The only potential ingredient that we omitted without serious consequences was heavy element settling. This is not to say that simpler stellar models cannot be used in the analysis of asteroseismic data, but rather that some of the more sophisticated ingredients are required to obtain accurate results from a *global* search of the parameter space.

Having demonstrated the effectiveness of the method by fitting our models to synthetic data, and after calibrating the empirical surface correction using the differences between Model S_* and the BiSON data, we finally applied our model-fitting pipeline to solar data from the BiSON and GOLF experiments. The oscillation frequencies from these two sources are identical to each other within the observational uncertainties, but their noise properties are slightly different—allowing us to quantify any systematic errors that might arise from subtle effects in the data acquisition and analysis methods. In both cases we used the same set of modes referenced in the earlier experiments ($n = 14\text{--}25$, $l = 0\text{--}2$) with the respective errors again scaled up by a factor of 10, and the same constraints on the effective temperature and luminosity (see Section 3.1). The two sets of input data differed only in the absolute values of the oscillation frequencies (yielding distinct values of $\langle \Delta\nu_0 \rangle$ for fitting the stellar age), and in the statistical uncertainties assigned to each mode (leading to subtle differences in the weighting of the fit).

In general, the four independent runs of the GA for each data set led to slightly different results after 200 generations, so we list only the solution leading to the best model in the rows below “BiSON” and “GOLF” in Table 1. We found that if we continued running the GA for up to 300 generations, the same optimal solution was identified in 2–3 of the independent runs. To reduce the total computing time required for future experiments, we decided to stop the GA earlier when 1–2 of the runs would still reliably identify the global solution. Both data sets lead to identical values of the mass and metallicity from the global search, with slight variations in the values of the other parameters. These minor differences largely disappear after the local analysis. Note that because we multiplied the true observational errors by a factor of 10 for the fitting, the resulting values of χ_R^2 are ~ 0.1 . Although the fits used a limited range of frequencies and did not include $l = 3$ modes, the optimal models

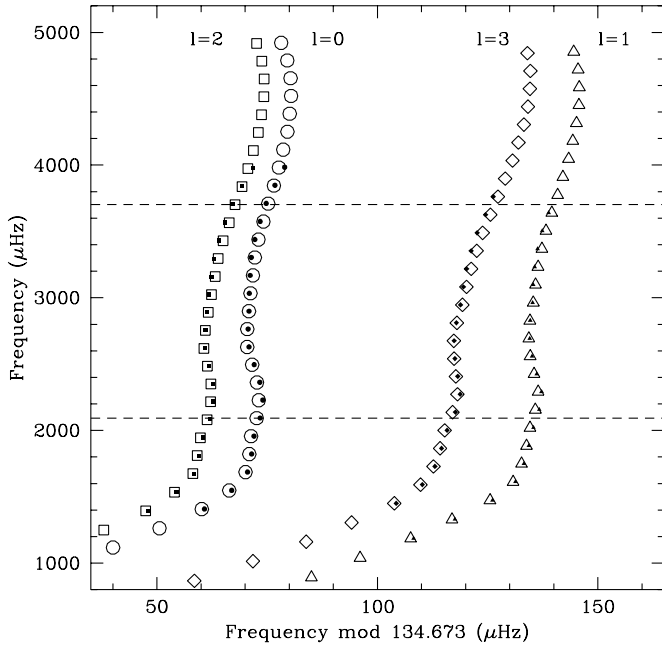


Figure 3. Echelle diagram for the BiSON data (solid points), where we divide the oscillation spectrum into segments of length $(\Delta\nu_0)$ and plot them against the oscillation frequency, along with the optimal model from our asteroseismic modeling pipeline (open points). Note that the pipeline only used the $l = 0-2$ frequencies between the dashed lines for the fit, but the resulting optimal model also matches the $l = 3$ modes and frequencies outside of the fitting range.

also match the modes with lower frequencies and higher degree (see the BiSON fit in Figure 3) and accurately reproduce the known solar properties.

4. DISCUSSION

With the successful validation of our model-fitting pipeline using solar data, we now need to ensure that our adopted treatment of surface effects yields reasonable optimal models when applied to other stellar data. While numerous ground-based and space-based data sets on other stars are already available, the computation-intensive nature of our method necessarily limits the present investigation to the single case of the Sun. The obvious next step is to use archival ground-based data on several well studied solar-type stars to validate the pipeline for various stellar masses (e.g., α Cen A & B, near ~ 1.1 and $\sim 0.9 M_{\odot}$, respectively) and for different evolutionary stages (e.g., the “future Sun” β Hyi, at ~ 7 Gyr). Although Kjeldsen et al. (2008) demonstrated their method by applying it to models of these three stars in addition to the Sun, our experience using it with solar data suggests that a *global* exploration of the models may present additional challenges.

Since our stellar models and the empirical correction for surface effects have both been calibrated using a main-sequence star at $1.0 M_{\odot}$, the α Cen system will help validate the models with interior physical conditions that differ slightly from those of the Sun. Its proximity and multiple nature make it an excellent second test of our pipeline, because it has very well determined properties including stellar radii from interferometry (Kervella et al. 2003). There are also strong constraints on the component metallicities and effective temperatures (Porto de Mello et al. 2008), while the initial composition and age of the two stars are presumably identical. In the next phase of this project, we plan to use the published oscillation frequencies of α Cen A (Bouchy & Carrier 2002; Bedding et al. 2004; Bazot et al. 2007) and

α Cen B (Carrier & Bourban 2003; Kjeldsen et al. 2005) with the additional constraints from interferometry, spectroscopy, and the binary nature of the system to further validate our pipeline and the empirical correction for surface effects. Kjeldsen et al. (2008) successfully applied their recipe to a set of stellar models that broadly resemble the components of the α Cen system, so we have good reason to believe that our implementation will also succeed—but this remains to be demonstrated.

The G2 subgiant β Hyi has long been studied as a “future Sun,” with an age near 7 Gyr. It has been characterized almost as extensively as the α Cen system, including recent interferometric measurements of its diameter (North et al. 2007) and dual-site asteroseismic observations that determined its mean density with an accuracy of 0.6% (Bedding et al. 2007). These data included the detection of several $l = 1$ modes that deviate from the asymptotic frequency spacing, suggesting that they are “mixed modes” behaving like g-modes in the core and p-modes in the envelope. This is expected for evolved stars such as β Hyi because as they expand and cool the p-mode frequencies decrease, while the g-mode frequencies increase as the star becomes more centrally condensed. This leads to a range of frequencies where these modes can overlap and exchange their character, manifested as so-called *avoided crossings*. This behavior changes very quickly with stellar age, and propagates from one mode to the next as a star continues to evolve. Consequently, the particular mode affected yields a very strong constraint on the age of the star (see Christensen-Dalsgaard 2004). In subsequent work, we plan to use the published oscillation data for β Hyi (Bedding et al. 2007) along with the constraints from interferometry and spectroscopy to validate our pipeline and the empirical treatment of surface effects for stars that are significantly more evolved than the Sun. This will require an automated method to recognize mixed modes in the data set and to incorporate them into the optimization of stellar age along each track.

Once we have validated the model-fitting pipeline with additional stars that sample a range of masses and evolutionary stages, we can begin to consider additional observables and parameters that are not constrained by currently available data sets. High-quality asteroseismic data are soon expected from the *Kepler* mission, spanning sufficiently long periods of time that the effects of rotation (Gizon & Solanki 2003; Ballot et al. 2006, 2008) and magnetic activity cycles (Chaplin et al. 2007; Metcalfe et al. 2007) should be detectable. The *Kepler* mission is designed to discover Earth-sized habitable planets, and our model-fitting pipeline will be able to characterize the planet-hosting stars with asteroseismology. This is essential to convert precise transit photometry into an absolute radius for the planetary body. In addition, accurate rotation rates and ages will provide clues about the formation and evolution of the planet-hosting systems. The determination of accurate stellar properties for a broad array of solar-type stars will give us a new window on stellar structure and evolution, and will provide a broader context for our understanding of the Sun and our own solar system. We hope to facilitate this process by applying our stellar model-fitting pipeline to the data that will soon emerge from the *Kepler* mission.

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APPENDIX A

THE EMPIRICAL SURFACE CORRECTION

For convenience we summarize the analysis of Kjeldsen et al. (2008), to correct for the near-surface frequency effects. This is based on analyzing the large frequency separation $\Delta\nu_{n,l}$ (cf. Section 1) and the individual frequencies. Since the offset from incorrect modeling of the near-surface layers is not a strong function of l , we can derive the correction by considering only the radial modes ($l = 0$) and then apply it to all of the modes.

Suppose we have a set of observed frequencies for radial modes $\nu_{n,0}^{(\text{obs})}$, where n is the radial order. Let $\nu_{n,0}^{(\text{best})}$ be the frequencies of the model that we seek—the one that best describes the parameters and internal structure of the star, but which still fails to model correctly the surface layers. In the solar case, this model is defined by the inversion of the frequencies over a broad range of available modes. Here the difference between the observed and best model frequencies is found to be well fitted by a power law, which has the convenient property of being free of a frequency scale:

$$\nu_{n,0}^{(\text{obs})} - \nu_{n,0}^{(\text{best})} = a \left(\frac{\nu_{n,0}^{(\text{obs})}}{\nu_0} \right)^b, \quad (\text{A1})$$

(see also Christensen-Dalsgaard & Gough 1980), where ν_0 is a suitably chosen reference frequency, and a and b are parameters to be determined.

In practice we do not know the best model. Thus, we must determine the correction from a reference model, with frequencies $\nu_{n,0}^{(\text{ref})}$, which is assumed to be close to the best model. In that case we have to a good approximation from homology scaling

$$\nu_{n,0}^{(\text{best})} = r \nu_{n,0}^{(\text{ref})}, \quad (\text{A2})$$

where the scaling factor r is related to the mean densities of the best and reference models.

Substituting Equation (A2) into Equation (A1) and differentiating with respect to n gives

$$\Delta\nu_{n,0}^{(\text{obs})} - r \Delta\nu_{n,0}^{(\text{ref})} = ab \left(\frac{\nu_{n,0}^{(\text{obs})}}{\nu_0} \right)^{b-1} \frac{\Delta\nu_{n,0}^{(\text{obs})}}{\nu_0}, \quad (\text{A3})$$

from which we finally obtain

$$r = (b - 1) \left(b \frac{\nu_{n,0}^{(\text{ref})}}{\nu_{n,0}^{(\text{obs})}} - \frac{\Delta\nu_{n,0}^{(\text{ref})}}{\Delta\nu_{n,0}^{(\text{obs})}} \right)^{-1} \quad (\text{A4})$$

and

$$b = \left(r \frac{\Delta\nu_{n,0}^{(\text{ref})}}{\Delta\nu_{n,0}^{(\text{obs})}} - 1 \right) \left(r \frac{\nu_{n,0}^{(\text{ref})}}{\nu_{n,0}^{(\text{obs})}} - 1 \right)^{-1} \quad (\text{A5})$$

(for details, see Kjeldsen et al. 2008). If we know b then we can calculate r using Equation (A4), or *vice versa* using Equation (A5). We can then obtain a using Equations (A1) and (A2).

Applying this method to the Sun, we used the frequencies of Model S*, which were obtained by fitting the frequencies of Model S from Christensen-Dalsgaard et al. (1996), but using slightly modified physics (see Section 3.2). We assumed this to be the “best” solar model, which means that we can set $r = 1$ (see Equation (A2)). The observed solar frequencies were obtained from the BiSON experiment (Chaplin et al. 1999). From the procedure described above, choosing $\nu_0 = 2832.82 \mu\text{Hz}$, setting $r = 1$ and using the data for the dozen radial modes with $n = 14$ –25, we obtained a value of $b = 4.82$.

Kjeldsen et al. (2008) repeated a similar analysis for different numbers of modes (all values from 7 to 13), and found the derived value of b to range from 4.4 to 5.25. Clearly, the frequency differences do not exactly follow a power law, and so the exponent in the power-law fit depends substantially on the frequency range. However, the value for a varied by less than $0.1 \mu\text{Hz}$ in all cases. If we repeat our analysis using GOLF data (Lazrek et al. 1997) for the same set of modes, we derive a value of $b = 4.97$. Both of our values are comparable to the value $b = 4.90$ derived by Kjeldsen et al. (2008).

After calibrating the value of the exponent b using solar data, the prescription of Kjeldsen et al. describes how to predict the surface effect for any other stellar model being compared to real observations. The value of r is determined by applying Equation (A4) to each trial model through a comparison of the calculated frequencies with the observations. The value of a is then determined using Equations (A1) and (A2), and subsequently used in conjunction with b to predict the surface effect for each frequency of the model. This recipe is applied to every model calculated during the global search by the GA, and during the local analysis using LM+SVD. Effectively we are adjusting the predicted surface effect for each model based on the difference between the mean densities implied by the two sets of frequencies. In addition, the magnitude of the surface effect reduces the weight of the mode in the final fit, giving more weight to low frequencies but still attempting to optimize the match at high frequencies. The final weight for each mode is determined by the quadratic sum of the (statistical) observational error and half the (systematic) surface correction.

APPENDIX B

SINGULAR VALUE DECOMPOSITION

As discussed in detail by Brown et al. (1994), the analysis of the linearized relation between observables and parameters is conveniently carried out in terms of Singular Value Decomposition (SVD) because it has some very useful properties when applied to a matrix. Suppose we have a *model* that when given a set of input parameters \mathbf{P} (we normally do not know \mathbf{P} and we wish to retrieve them) produces an output set of expected model observables. Generally the model- (or parameter-) fitting problem consists of matching a set of observations \mathbf{O} to the output model observables, by making parameter changes $\delta\mathbf{P}$ to \mathbf{P} , until we find the model that fits the observations best. Once we find \mathbf{P} , to exploit SVD we calculate the partial derivatives of each of the observables with respect to each of the model parameters \mathbf{P} , and subsequently divide by the measurement errors. The result is what we call the design matrix \mathbf{D} .

The SVD of $\mathbf{D} (= \mathbf{U}\mathbf{W}\mathbf{V}^T)$ neatly describes the relationship between the model observables and the stellar parameters

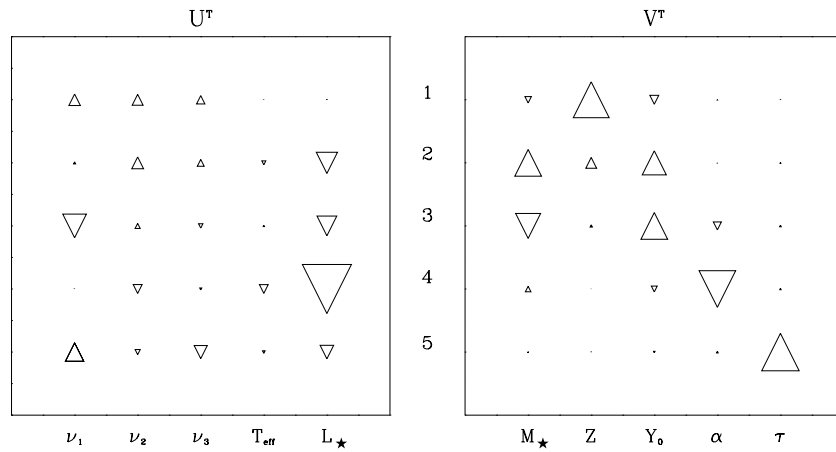


Figure 4. U^T and V^T orthonormal matrices from the SVD of the design matrix, evaluated for the model that best fits the BiSON data. Each row in U^T shows how the set of observables are responsible for each of the parameters given in each row of V^T , e.g., row 1 contains mostly the parameter of Z , and this is constrained mainly by the three frequencies that appear in row 1 of U^T . If we scale the rows in V^T by the inverse of the singular values we obtain the parameter correlations, which describe how to define the five-dimensional error boundary.

through a set of linear transformation vectors. Here, the matrices U and V are of order $M \times N$ and $N \times N$ respectively, where M is the number of observables and N is the number of parameters; W is a diagonal matrix of order $N \times N$, with diagonal elements consisting of the singular values W_k . U and V consist of orthonormal vectors that span the observable and parameter spaces respectively, while the diagonal elements of W assign a relative importance to each vector. Each column vector of U describes the content of each observable, the singular value W_k quantifies the importance of this vector (i.e., it organizes the observables by amount and type of information), while each column vector of V describes how the information from each observable vector is distributed among the parameters (i.e., which observables are important for constraining certain parameters). We will see this more clearly below. If the models were linear then the solution δP , in a least-squares sense, could be found simply from the discrepancies δO between the observations and the model observables, normalized by the errors. In terms of SVD, the result can be written as $\delta P = V W^{-1} U^T \delta O$, which clearly shows that the parameter changes required to reconcile P with the observables come from a product of (1) the discrepancies between the observations and the observables, and (2) a set of linear transformation vectors that are scaled by the inverse of the singular values. Below we will explore how we can use SVD to elucidate both the information contained in the set of observables and the available constraints on the parameters.

Figure 4 illustrates part of the orthonormal matrix U (left) and the full orthonormal matrix V . Because we have $N = 5$ parameters in the problem, each of the matrices consists of 5 column vectors; thus we plot U^T and V^T , ordered such that the horizontal vectors corresponding to the largest singular values W_k are at the top. Each column vector of U has $M = 38$ elements, which is the number of observations that are used to determine the solution, but we only include the first 5 elements for illustration. The elements of the matrix can only take values between -1 and $+1$, and each number is represented by a triangle whose size and direction are proportional to the magnitude and sign of each element. The column vectors are labeled 1–5: 1 represents the first column vector, which is associated with the largest singular value, 2 is the second largest singular value, while 5 is the smallest. Thus, the vectors are effectively ranked according to their information content, where the least important

information is given in the vectors corresponding to the smallest W_k . For example, if we were to perform the matrix multiplication of $U W V^T$ using only the top vectors, we would be able to recover most of the input matrix D . Essentially this is equivalent to zeroing the values of W that are below a certain threshold before doing the multiplication. Clearly the observables that appear among the top vectors are most important for constraining the parameter solution, while the parameters that appear among the top vectors are the most constrained.

Inspecting the left panel of Figure 4, by scanning down the fourth column we see that the observable T_{eff} has components mainly in the second and fourth vectors. The luminosity L_{\star} is present in vectors 2–4, while the individual frequencies are present mostly in vectors 1 and 2. The most important information comes from the first vector, and we can clearly see that in this case the seismic information dominates. We also see that L_{\star} and T_{eff} usually appear in the same vectors, implying that these observables work together to constrain the parameter solution. The right panel shows how the information from the observables is distributed among the parameters. The top vector has mainly one large component, Z . This implies that Z is most constrained by the set of observables, and in particular by the observables that appear in the top row of the U^T matrix, i.e., the frequencies. Similarly, the mixing-length parameter (α) appears predominantly in the fourth vector, and is constrained mainly by T_{eff} and L_{\star} .

Returning to the linear parameter-fitting problem, we saw that calculating δP depended strongly on the size of the singular value associated with the transformation vectors (as well as the discrepancies). Because Z appears in the first vector and the inverse of the first singular value is the smallest, this means that Z is not allowed to deviate much from its initial value. So if we find that we are in a local minimum that is not the global solution, it is unlikely that we will find a better solution that deviates much in Z . For this reason we choose to explore a range of Z values during the local analysis. The vectors V_k are the basis vectors, and describe the normalized changes that we can make to each of the parameters while respecting the constraints imposed by the observables. The inverse of the W_k describe the magnitude of each of the vectors that keeps the parameters within 1σ of the final answer. Since the vectors corresponding to larger k have smaller W_k (larger inverses), the parameters associated with these vectors will extend further

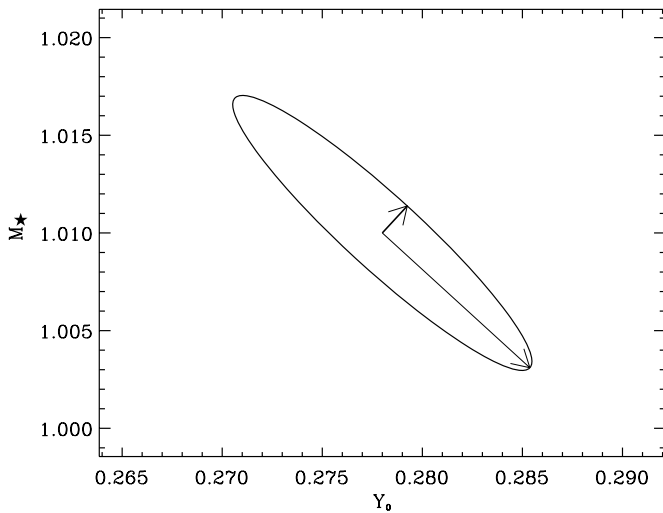


Figure 5. Longest projections of the five-dimensional error boundary on the two-dimensional parameter space of M_* and Y_0 , as described by SVD, for the final fit model to the BiSON data. The arrows are the two-element directional vectors given by the projections of V_2/W_2 and V_3/W_3 which dominate the determination of M_* and Y_0 (cf. Figure 4), and the ellipse is defined by these vectors.

into the parameter space, i.e., they are less constrained by the observations.

Inspecting the matrix \mathbf{V}^T in Figure 4, note that vector 2 reveals both M_* and Y_0 to be positive, indicating that they should be increased or decreased together to satisfy the constraints imposed by the observables. However, vector 3 implies that if M_* increases, Y_0 should decrease. When both of these vectors are projected onto the M_* - Y_0 plane and scaled according to $1/W_2$ and $1/W_3$, vector 3 is the longer of the two. These scaled vectors are shown as arrows in Figure 5, defining the semimajor and minor axes of the projected 1σ error ellipse. The error ellipse defines the boundary of the allowed parameter values that will change the χ^2 value by less than $\Delta\chi^2$, where $\Delta\chi^2$ is the value that defines the 1σ error for the relevant number of degrees of freedom. This figure clearly shows that there is a very strong inverse correlation between these parameters, and hints at the size of the uncertainty in each one.¹¹ Though not as clearly visible in Figure 4, Z and Y_0 have a strong direct correlation, so for the local analysis we explore a range of Y_0 as well as Z .

Finally, the *covariance matrix* can be expressed in a very compact form using SVD; the covariance between parameters P_j and P_i is:

$$C_{ji} = \sum_{k=1}^N \frac{V_{jk}V_{ik}}{W_{kk}^2}. \quad (\text{B1})$$

In particular, the formal uncertainties $\sigma(P_j)$ on each of the parameters are obtained from $\sigma(P_j)^2 = \sum_k V_{jk}^2/W_{kk}^2$. Given this very clear form for describing the correlations between the parameters and their uncertainties, we can also determine the uncertainties on the model observables (such as R_* and L_*) or some other properties (such as the core hydrogen content) by calculating the models at the boundaries of the error ellipse. Equation (B1) can also be used to investigate *how* to reduce the uncertainties in each of the parameters—with what precision do we need to measure the individual observables? What other

¹¹ The correlation arises naturally from the fact that increasing either M_* or Y_0 tends to increase the luminosity, so if one of these parameters is increased the other must be decreased to satisfy the luminosity constraint while continuing to match the other observables.

information may be useful to help break the degeneracies between some parameters? And are there limits to what we can learn from the available data?

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